

**Pūrongo mō te mōnehu o
te wā tāpiri mō tā Aotearoa
whakatutuki i ana herenga**

Report upon expiration of the additional
period for fulfilling commitments by
Aotearoa New Zealand



Ministry for the
Environment
Manatū Mō Te Taiao



Te Kāwanatanga o Aotearoa
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I. Introduction

1. This report, submitted by Aotearoa New Zealand on 26 September 2023, contains the information required to be reported upon the expiration of the additional period for fulfilling commitments for the second commitment period of the Kyoto Protocol (hereinafter referred to as the true-up period),¹ in accordance with the relevant decisions of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol.²
2. The information contained in this report covers the period 1 January 2022 to 9 September 2023, in accordance with decision 15/CMP.1, annex, paragraph 20, in conjunction with decision 3/CMP.11.
3. The information required to be included in the report is presented using the standard electronic format (SEF) tables included in Annex II to decision 3/CMP.11.
4. In addition to the SEF tables, the information required to be included in the report in accordance with decision 15/CMP.1, annex, paragraphs 12–16, in conjunction with decision 3/CMP.11 is also presented in the reports on:
 - a. discrepancies identified by the international transaction log (ITL);
 - b. notifications from the Executive Board of the clean development mechanism;
 - c. records of non-replacement identified by the ITL; and
 - d. units in the national registry not valid towards compliance.

These reports are usually known as R2–R5 reports respectively.³

¹ Section XIII of decision 27/CMP.1 (Procedures and mechanisms related to compliance under the Kyoto Protocol) defines the additional period for fulfilling commitments.

² Decisions 5/CMP.1, 13/CMP.1, 15/CMP.1, 22/CMP.1, 27/CMP.1, 1/CMP.8, 1/CMP.17, 3/CMP.11 and 4/CMP.11.

³ Report R2 (list of discrepant transactions) provides information on any discrepancies identified by the international transaction log, and additional information required under paragraph 12 of the annex to decision 15/CMP.1; report R3 (list of clean development mechanism (CDM) notifications) provides information on any notification received by the Party from the Executive Board of the CDM, directing the Party to replace long-term certified emission reductions, in accordance with paragraphs 13–14 of the annex to decision 15/CMP.1; report R4 (list of non-replacements) provides information on any record of non-replacement identified by the international transaction log, and the additional information required under paragraph 15 of the annex to decision 15/CMP.1; report R5 (list of invalid units) provides information on the quantities of Kyoto Protocol units held in the national registry that are not valid for use towards compliance with commitments under Article 3, paragraph 1, in accordance with paragraph 16 of the annex to decision 15/CMP.1.

II. Information provided before the submission of this report

5. The SEF tables of Aotearoa for the period 1 January 2022 to 31 December 2022 have not been submitted previously.⁴
6. The reports R2–R5 of Aotearoa for the period 1 January 2022 to 31 December 2022 have not been submitted previously.

III. Information included in this report

7. The SEF tables of Aotearoa (with the file names SEF-CP1-2022 and SEF-CP1-2023) for the periods 1 January 2022 to 31 December 2022 and 1 January 2023 to 9 September 2023 are part of this report and can be found on the [Ministry for the Environment's website](#).

The reports R2–R5 of Aotearoa (with the file names R2-R5-2022 and R2-R5-2023) for the periods 1 January 2022 to 31 December 2022 and 1 January 2023 to 9 September 2023 are part of this report and can be found on the [Ministry for the Environment's website](#).

IV. Other information included in this report

8. Information on actions to correct discrepancies identified from 1 January 2022 to 9 September 2023, changes to the national registry to prevent discrepancies from reoccurring and resolution of previous questions of implementation pertaining to transactions. In accordance with paragraph 17 of the annex to decision 15/CMP.1 in conjunction with decision 3/CMP.11, Aotearoa reports that no discrepancies have been identified by the ITL during the periods 1 January 2022 to 31 December 2022 or 1 January 2023 to 9 September 2023 and therefore, there was no need to take any action or make any change to its registry with regard to this issue.
9. In accordance with paragraph 17 of the annex to decision 15/CMP.1 in conjunction with decision 3/CMP.11, Aotearoa reports that no questions of implementation pertaining to its transactions have been previously identified.

a) Commitment period reserve

10. The commitment period reserve (CPR) of Aotearoa for CP2 is not applicable because Aotearoa does not have a quantified emission limitation or reduction commitment for the second commitment period of the Kyoto Protocol.

⁴ For the SEF tables from 1 January 2022 to 9 September 2023, see paragraph 7 in this report.

b) Total quantity of units in the Crown Holding Account that have been transferred to the Cancellation account

11. Table 1 presents the total quantity of Kyoto Protocol units in Aotearoa’s Crown Holding Account that have been transferred to the Cancellation account at the end of the true-up period for the second commitment period, in accordance with paragraph 49(b) of the annex to decision 13/CMP.1 in conjunction with decision 3/CMP.11. Aotearoa did not take its target to reduce emissions for the second commitment period of the Kyoto Protocol. Aotearoa cannot transfer Kyoto Protocol units to the Retirement account but instead has transferred the units to the Cancellation account.

Table 1: Total quantity of Kyoto Protocol units in the Crown Holding Account that have been transferred to the Cancellation account for the second commitment period (2013–2020)

AAUs	ERUs	RMUs	CERs	tCERs	ICERs	Total
6,544,586	N/A	N/A	N/A	N/A	N/A	N/A

Abbreviations: AAUs = assigned amount units; ERUs = emission reduction units; RMUs = removal units; CERs = certified emission reductions; tCERs = temporary certified emission reductions; ICERs = long-term certified emission reductions.

12. The information regarding the serial numbers of the units mentioned in table 1 can be found (with the file name ‘Serial block information for units cancelled to meet Aotearoa’s 2020 target.xlsx’) on the [Ministry for the Environment’s website](#).
13. Table 2 presents the total quantity of surplus Kyoto Protocol units remaining in Aotearoa’s Crown Holding Account that have been transferred to the Cancellation account.

Table 2: Total quantity of surplus Kyoto Protocol units in the Crown Holding Account that have been transferred to the Cancellation account

AAUs	ERUs	RMUs	CERs	tCERs	ICERs	Total
21,472,629	N/A	N/A	N/A	N/A	N/A	N/A

Abbreviations: AAUs = assigned amount units; ERUs = emission reduction units; RMUs = removal units; CERs = certified emission reductions; tCERs = temporary certified emission reductions; ICERs = long-term certified emission reductions.

14. The information regarding the serial numbers of the units mentioned in table 2 can be found (with the file name ‘Serial block information for Aotearoa’s cancelled surplus units.xlsx’) on the [Ministry for the Environment’s website](#).

c) Carry over of units

15. Aotearoa does not wish to carry over any Kyoto Protocol units.